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From: Lucius L. Lockwood

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To: Commissioner

Firm: Patent and Trademark Office

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Facsimile No.: (703) 872-9248 9306

Total pages including this page: 2

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43741-00001

PTO/SB/21 (02-04)

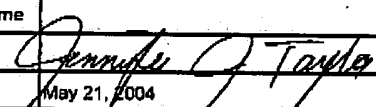
Approved for use through 07/31/2006. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/902,277	
	Filing Date	July 9, 2001	
	First Named Inventor	Gurtej S. Sandhu	
	Art Unit	2813	
	Examiner Name	Schilling, Laura	
Total Number of Pages in This Submission	14	Attorney Docket Number	M122-1780


ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input checked="" type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Entry of Previously Submitted Information
Remarks Copy of IDS filed 7-9-01, return receipt postcard, Express Mail receipt, Ex Post Declaration, application Transmittal Form  Copy of IDS filed 8-8-01, return receipt postcard, Transmittal Form		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jennifer J. Taylor, Ph.D., Reg. No. 48,711; Wells St. John P.S.		
Signature			
Date	May 21, 2004		

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Jaime M. White		
Signature		Date	May 21, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Appl. No. 09/902,277

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 09/902,277  
Filing Date ..... July 9, 2001  
Inventor..... Gurtej S. Sandhu et al.  
Assignee..... Micron Technology, Inc.  
Group Art Unit..... 2813  
Examiner ..... Schillinger, Laura M.  
Attorney's Docket No. .... MI22-1780  
Title: Method of Forming a Thin Film Transistor

OFFICIAL

**REQUEST FOR ENTRY OF PREVIOUSLY SUBMITTED INFORMATION**  
**DISCLOSURE STATEMENTS**

RECEIVED  
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MAY 21 2004

To: Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

From: Jennifer J. Taylor, Ph.D. (Tel. 509-624-4276; Fax 509-838-3424)  
Wells St. John P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99201-3828

Applicant requests entry of the two enclosed information disclosure statements (IDS) into the application at this time. Each enclosed IDS was originally submitted by the applicant prior to the mailing date of the Notice of Allowance. Upon applicant's request for an initialed copy of each IDS from the examiner, it was indicated that an electronic copy has not been entered into the application file at the USPTO for either IDS.

Each enclosed IDS is accompanied by documents evidencing the original filing of the IDS. The earlier filed IDS was submitted on July 9, 2001 as evidenced by the corresponding application transmittal form, ex post declaration of express mailing and express mailing label. Receipt of the IDS is acknowledged by the PTO as evidenced by the enclosed corresponding return postcard receipt dated July 9, 2001.

Appl. No. 09/902,277

The later IDS was filed August 8, 2001 as evidenced by the corresponding transmittal form and certificate of mailing. A copy of the corresponding return postcard receipt is also enclosed evidencing acknowledgement of receipt of the second IDS by the PTO.

Applicant requests that each enclosed IDS be entered at this time and requests to be provided with an initialed copy of each form 1449.

Respectfully submitted,

Dated:

May 21, 2004

By:

Jennifer J. Taylor  
Jennifer J. Taylor, Ph.D.  
Reg. No. 48,711

MI22-1780  
Micron Technology, Inc.

7/5/01

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the application for letters patent of the following:

Applicant: Gurtej S. Sandhu et al

jc903 U.S. PTO

Titled: "Methods of Forming a Thin Film Transistor"

09/902277

1. Return Postcard Receipt.
2. A \$710 check.
3. PTO Utility Application Transmittal Form (PTO/SB/05)
4. Fee Transmittal Form (PTO/SB/17)
5. Copy of Priority Application: 24 pages of Specification, Claims and Abstract plus title page, 4 pages of drawings (Figs. 1-8) and Preliminary Amendment.
6. Inventors Declaration (copy from parent application).
7. 4 sheets of formal drawings (Figs. 1-8).
8. Information Disclosure Statement including PTO-1449.
9. Associate Power of Attorney.
10. Preliminary Amendment.

07/09/01

Return Receipt  
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EL 844046657 US

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Date in: 7/09/01	Postage: \$16.25
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MI22-1780(JJT/jw)

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	MI22-1780
First Inventor	Gurtej S. Sandhu
Title	Methods Of Forming A Thin Film Transistor
Express Mail Label No.	EL 844046657 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 3]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [X] Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure [ ] Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/95  
or its equivalent.
17. ☒ Other: \$710 Check

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)of prior application No.: 09 / 837,645

Prior application information:

Examiner: Schillinger, L.Group Art Unit: 2813

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label  or ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Jennifer J. Taylor, Ph.D.	Registration No. (Attorney/Agent)	P-48,711
Signature	Jennifer J. Taylor	Date	July 9, 2001

Burden Hour Statement: This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Inventor: Gurtej S. Sandhu et al.

Title: Methods of Forming A Thin Film Transistor

Assignee: Micron Technology, Inc.

**INFORMATION DISCLOSURE STATEMENT**

**PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 AND 1.98**

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the United States patents and other references listed on the attached Form PTO-1449. No admission is made regarding whether all the submitted references are prior art.

The listed references were cited by, or submitted to, the Office in the parent, co-pending application of the above-identified application. The above-identified application is a divisional application of co-pending application Serial No. 09/837,645, filed April 17, 2001. Such prior disclosure is sufficient for the above-identified application as far as copies of the references are concerned. 37 C.F.R. § 1.98(d) and MPEP § 609(2).

Citation of these references is respectfully requested.

Respectfully submitted,

Dated: July 9, 2001

By: Jennifer J. Taylor  
Jennifer J. Taylor, Ph.D.  
Reg. No. P-48,711  
WELLS, ST. JOHN, ROBERTS,  
GREGORY & MATKIN P.S.

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. M123-1780		PRIORITY SERIAL NO. 09/837,643	
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Gurtel S. Sandhu et al.			
				PRIORITY FILING DATE April 17, 2001		PRIORITY GROUP 2813	
U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	5,320,975	6/1994	Cederbaum et al.	437	44	
	AB	5,334,861	8/1994	Pfister et al.	257	67	
	AC	5,373,170	12/1994	Pfister et al.	257	69	
	AD	5,411,909	5/1995	Manning et al.	437	52	
	AE	5,418,393	5/1995	Hayden	257	347	
	AF	4,945,065	7/31/90	Gregory, et al.	437	4	
	AG	5,312,108	5/18/93	Liu, et al.	437	60	
	AH	5,323,240	6/4/96	Zhang, et al.	437	21	
	AI	5,726,096	1/98	Jung	438	592	
	AJ	4,569,697	7/86	Tsu et al.			
	AK	5,665,611	9/97	Sandhu et al.	438	162	
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes No
	AL	281054A1	7/90	Germany - Mende, et al.			
	AM						
	AP						
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)							
	AR		Pollack, G.P. et al., "Hydrogen Passivation of Polysilicon MOSFET's From A Plasma Nitride Source", IEEE, 1984 pp. 408-410				
	AS		Karras, T.L., "Hydrogenation of Transistors Fabricated in Polycrystalline-Silicon Films", IEEE, 1980, pp. 159-161				
	AT		Senger, C.H. et al., "Studies of the hydrogen passivation of silicon grain boundaries", J.Appl. Phys. 52, February 1981, pp. 1050-1055				
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



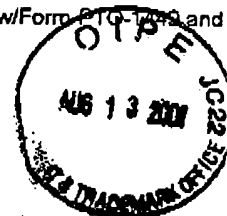
Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. M122-1780		PRIORITY SERIAL NO. 09/837,645	
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)					APPLICANT Gurtej S. Sandhu et al.			
					PRIORITY FILING DATE April 17, 2001		PRIORITY GROUP 2813	
U.S. PATENT DOCUMENTS								
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA	5,605,848	2/97	Ngoaram				
	AB	5,364,803	11/93	Ler et al.				
	AC	5,830,902	11/98	Tsang et al.	428	392		
	AD	5,372,860	12/94	Fehlner et al.	427	578		
	AE	5,452,332	7/96	Tsang et al.				
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
FOREIGN PATENT DOCUMENTS								
	Document Number	Date	Country	Class	Subclass	Translation		
						Yes	No	
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)								
	AR		Kitajima, H. et al. "Leakage Current Reduction in Sub-Micron Channel Poly-Si TFTs", Extended Abstract - 1991 International Conference on Solid State Devices and Materials, Yokohama, 1991. pp. 174-176					
	AS		Sénada, Takeshi et al. "The Role of Fluorine Termination in the Chemical Stability of HF-Treated Si Surfaces", Dept. of Electrical Engineering, Hiroshima University, Higashi-Hiroshima 724. Accepted for Publication 1990					
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

MI22-1780  
Micron Technology, Inc.

8/8/01

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the following papers relating to the Patent application of Gurtej S. Sandhu et al., Serial No. 09/902,277, filed July 9, 2001, entitled "Methods Of Forming A Thin Film Transistor".

1. PTO Return Postcard Receipt
2. Transmittal Form (PTO/SB/21)
3. Supplemental Information Disclosure Statement w/Form PTO-1449 and copies of cited references



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Approved for use through 09/30/2000. OMB 0651-0031  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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+

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/902.277
	Filing Date	July 9, 2001
	First Named Inventor	Gurtej S. Sandhu
	Group Art Unit Priority	2813
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number MI22-1780	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Return Postcard Receipt Form 1449 and copies of cited refs.
Remarks: No Fee Required		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jennifer J. Taylor, Ph.D.; Wells, St. John, Roberts, Gregory & Matkin P.S.
Signature	<i>Jennifer J. Taylor</i>
Date	<i>August 8, 2001</i>

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>8-8-01</u>	
Typed or printed name	Jaime M. White
Signature	<i>Jaime M. White</i>
Date	<u>8-8-01</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Serial No. .... 09/902,277  
Filing Date .... July 9, 2001  
Inventor .... Gurtej S. Sandhu et al.  
Assignee .... Micron Technology, Inc.  
PRIORITY Group Art Unit .... 2813  
Examiner .... Unknown  
Attorney's Docket No. .... MI22-1780  
Title: Methods Of Forming A Thin Film Transistor

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

Assistant Commissioner for Patents

Washington, D.C. 20231

**REMARKS**

The Examiner's attention is directed to the references which are listed on the attached Form PTO-1449 and copies of which are attached. No admission is made regarding whether all the submitted references are prior art.

Citation of these patents is respectfully requested.

This Information Disclosure Statement is being filed within three months of the filing date of the application or before the mailing of a first Office Action, whichever occurs last. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Information disclosure statement, please charge the fee specified under 37

C.F.R. §1.17(p) to Deposit Account No. 23-0925. Please credit Deposit Account No. 23-0925 with any overpayment of the above fee.

Respectfully submitted,

Date: August 9, 2001

By:

Jennifer J. Taylor  
Jennifer J. Taylor, Ph.D.  
Reg. No. P-48,711  
WELLS, ST. JOHN, ROBERTS,  
GREGORY & MATKIN P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99201-3828  
(509) 624-4276

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. M122-1730		SERIAL NO. 09/902,377	
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)					APPLICANT Gurtej S. Sandhu et al.			
					FILING DATE July 9, 2001		PRIORITY GROUP 2813	
U.S. PATENT DOCUMENTS								
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA	5,755,543	5/19/98	Sandhu et al.	438	163		
	AB	6,001,675	12/14/99	Sandhu et al.	438	151		
	AC	6,077,732	6/20/00	Sandhu et al.	438	153		
	AD	6,238,957	5/29/01	Sandhu et al.	438	151		
	AE							
	AF							
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	AH							
	AI							
	AJ							
	AK							
	AL							
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	AS							
	AT							
EXAMINER				DATE CONSIDERED				
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